



Aerosols Processes in the CMAQ Adjoint

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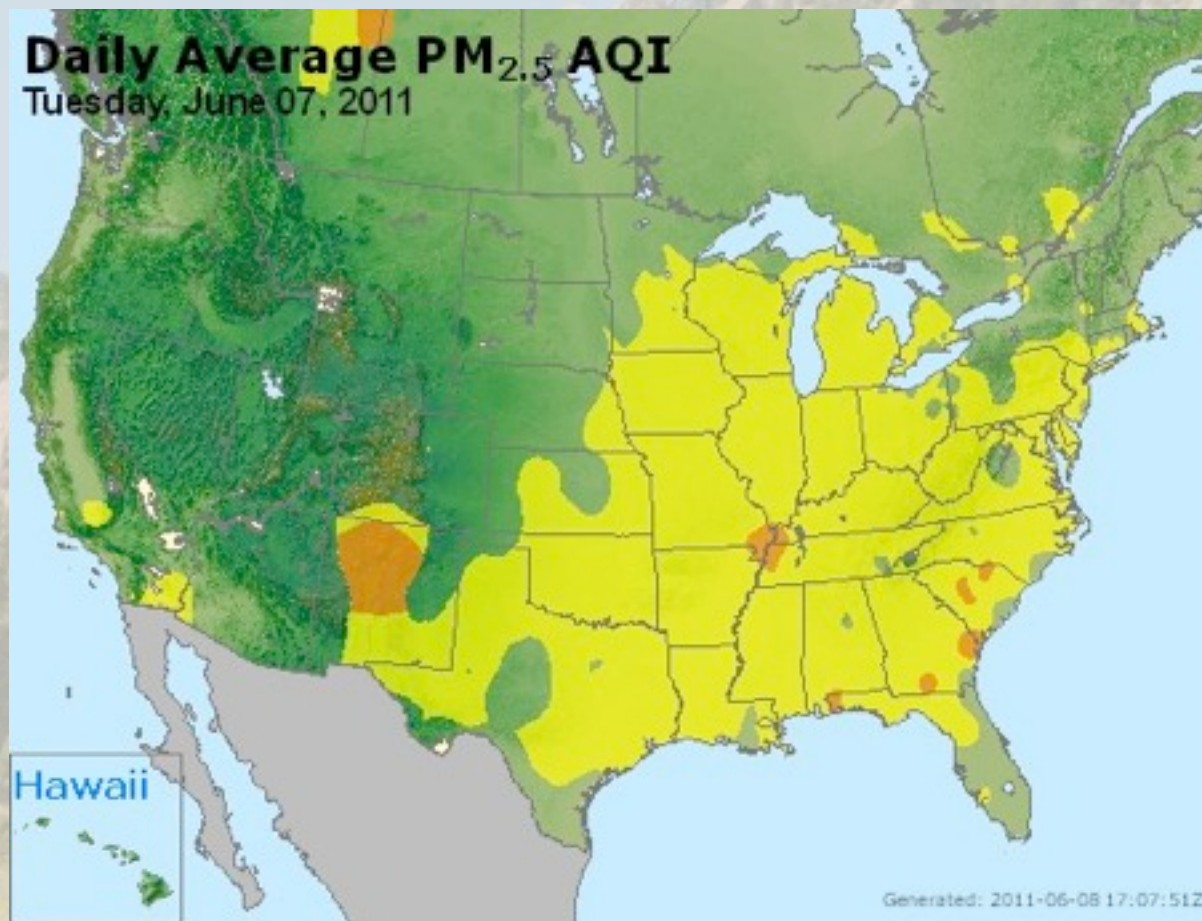
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December 2, 2011

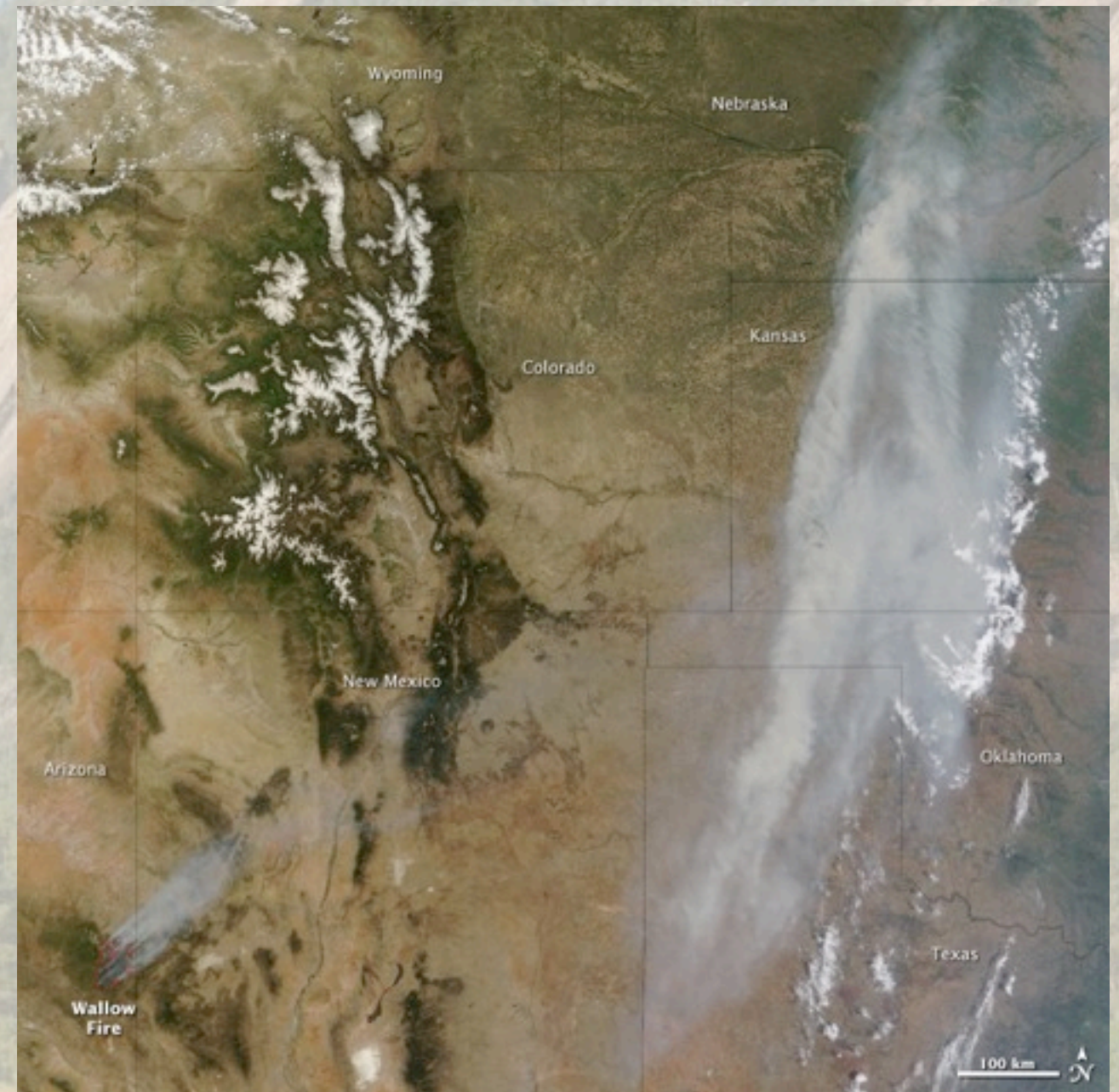
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Where is it Coming From?



EPA AIRNow
June 07, 2011



MODIS
June 07, 2011



Adjoint Models

- Forward sensitivity analysis are source-based
- Adjoint method provides receptor-based sensitivities
- Adjoint method has 2 main advantages over FD:
 - Quickly calculate sensitivities with respect to all model parameters (sources) at the same time.
 - Don't need multiple forward runs
 - Computed gradient is exact

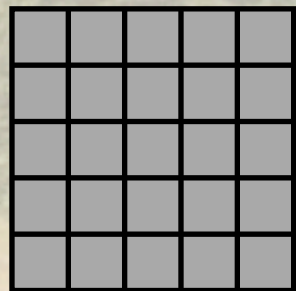
Inputs/Sources

Outputs/Receptors

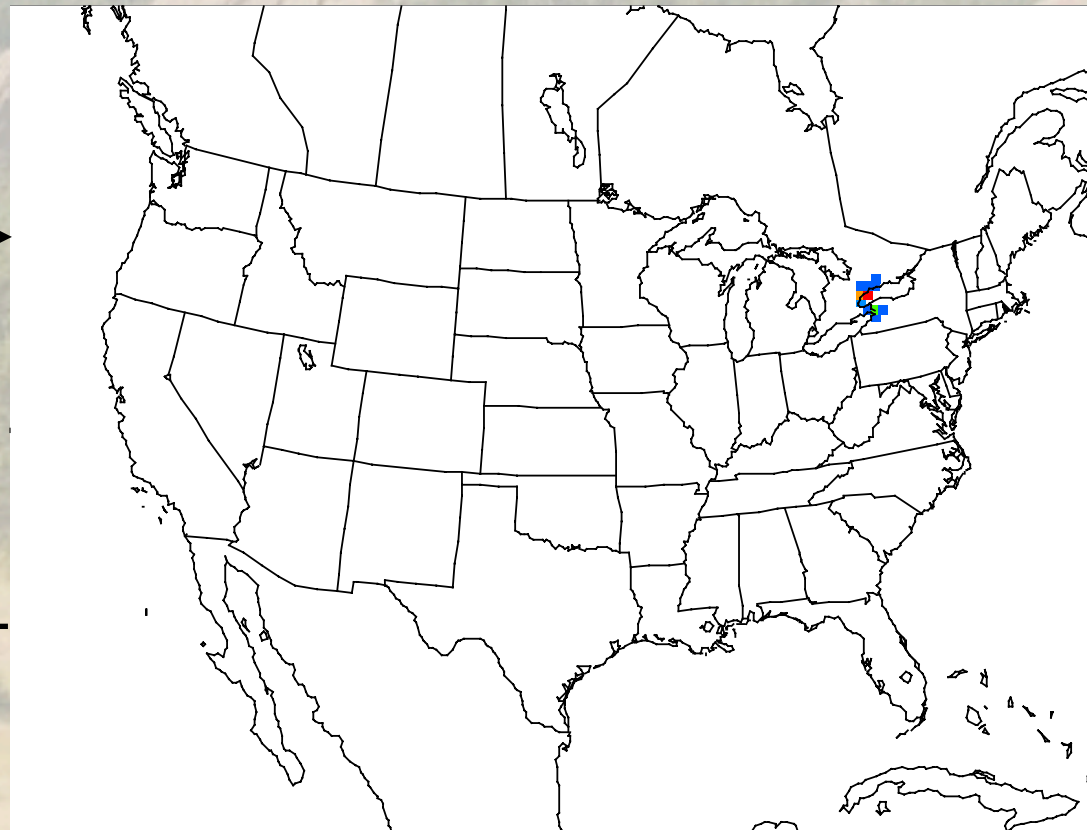
Forward

x

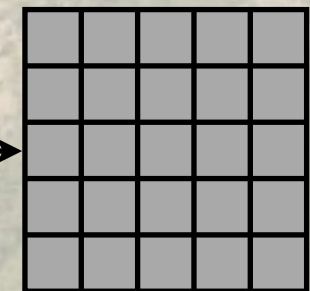
$$\frac{\partial y}{\partial x_j}$$



x



y



$$\frac{\partial y_i}{\partial x}$$

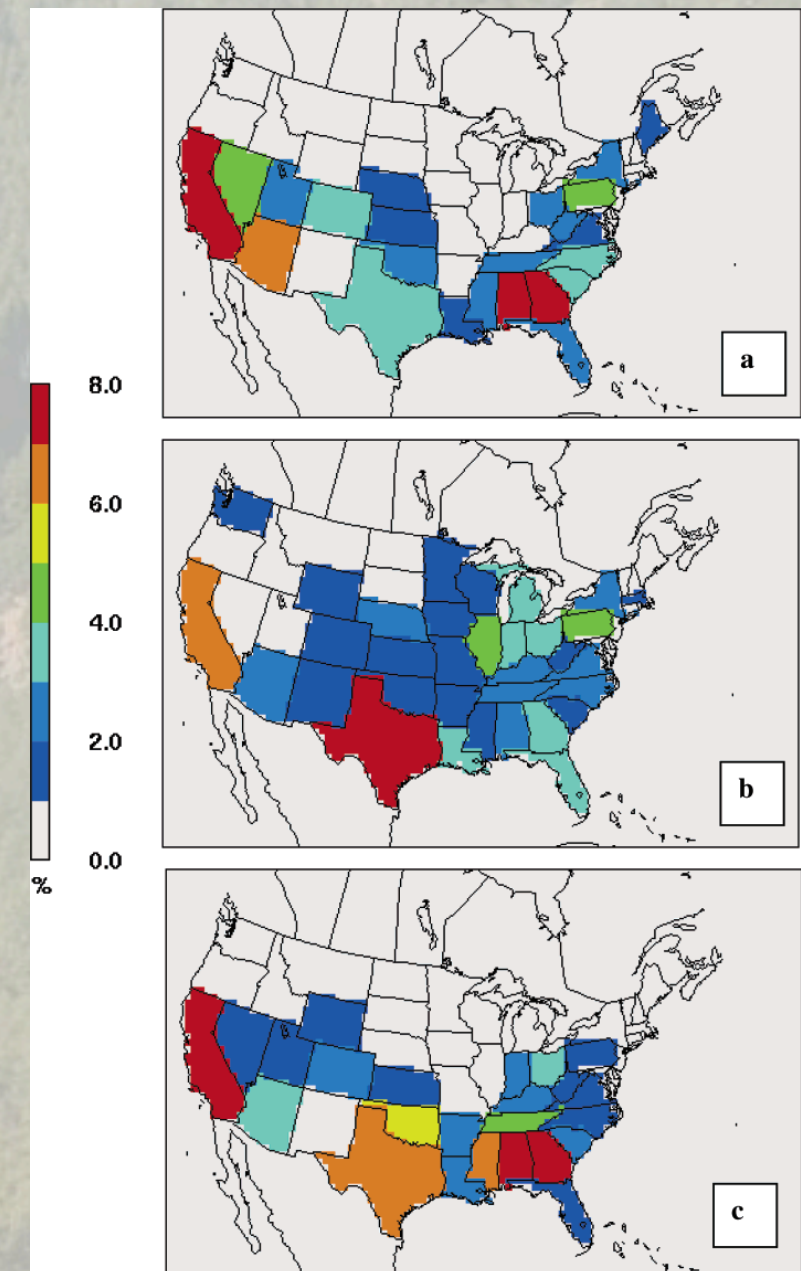
y

Adjoint



Applications of Adjoints

- Data Assimilation:
 - Hakami et al. 2005: Adjoint Inverse Modeling of Black Carbon
 - Zhang et al. 2008: AOD Data Assimilation over Global Oceans
 - Henze et al. 2009: Inverse Modeling of inorganic PM_{2.5} emissions
 - Sensitivity Analysis:
 - Hakami et al. 2006: Adjoint Sensitivity Analysis of Ozone Nonattainment
 - Martien et al. 2006: Adjoint Sensitivity Analysis for a 3D Photochemical Model
- Currently no regional adjoint includes aerosols**

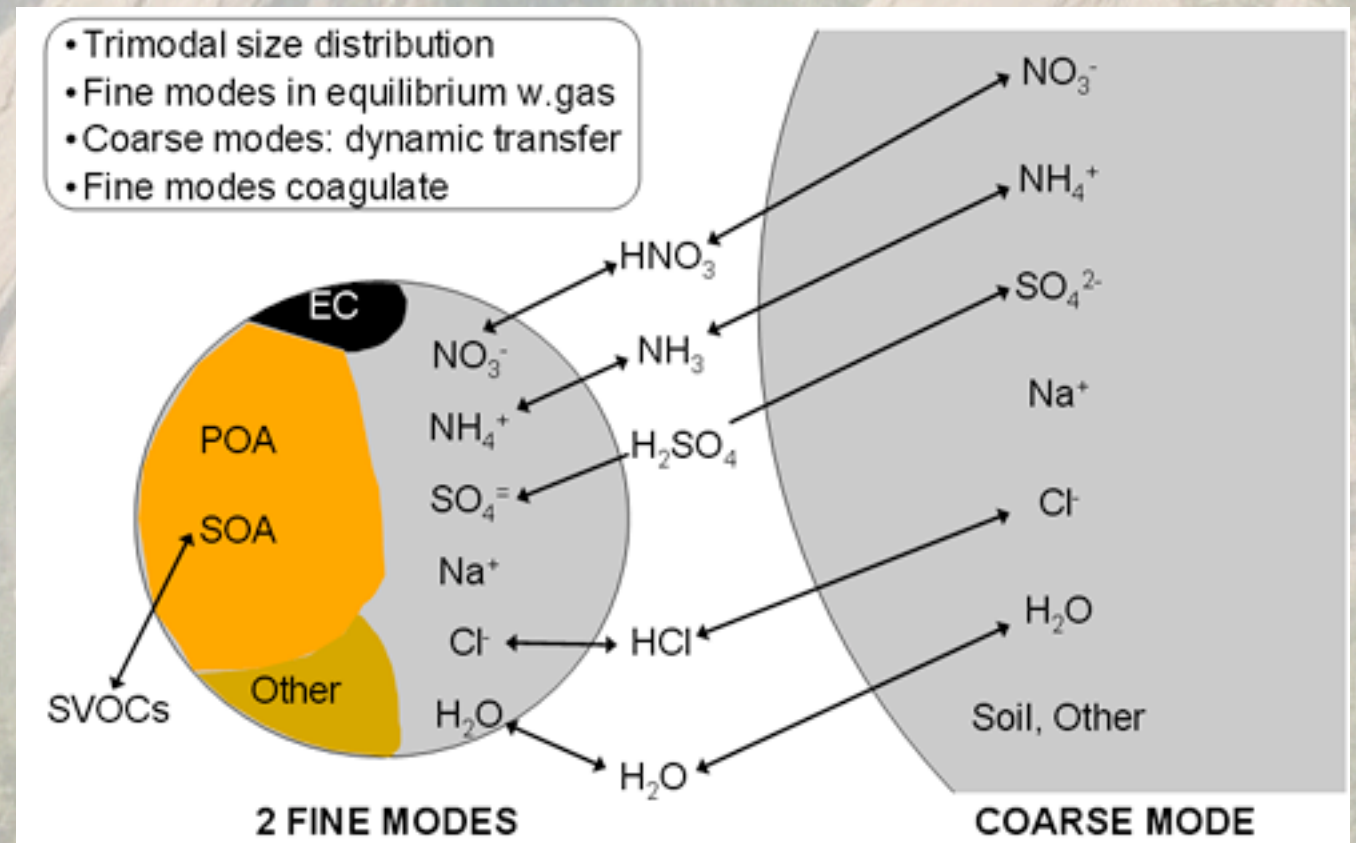


State shares (%) in nationwide (a) nonattainment (b) NO_x emissions, and (c) nonattainment sensitivities. **Hakami et al. 2006**



CMAQ Aerosol Module

- Modal approach with 3 modes
 - Aitken: fresh particles either from nucleation or direct emission
 - Accumulation: aged particles
 - Coarse
- Aerosol Module contains:
 - Aerosol Dynamics
 - Nucleation
 - Primary Emissions
 - Mode Merging
 - Dry Deposition
 - Cloud Processing of Aerosols
 - Aerosol Chemistry
 - SOA
 - Visual Range (diagnostic)



Representation of PM size and composition (EPA Atmospheric Modeling and Analysis Division)

Note: Image for CMAQ 4.7.1



Adjoint Validation

- Validate adjoint by comparing Finite Difference sensitivities to Adjoint sensitivities.
- Finite Difference:
 - Run simulation --> store output values
 - Run simulation after perturbing parameter --> store output values
 - $FD = (\text{Perturbed output} - \text{base output}) / \text{perturbation}$
- Adjoint:
 - Specify adjoint forcing (what drives adjoint model)
 - Run simulation

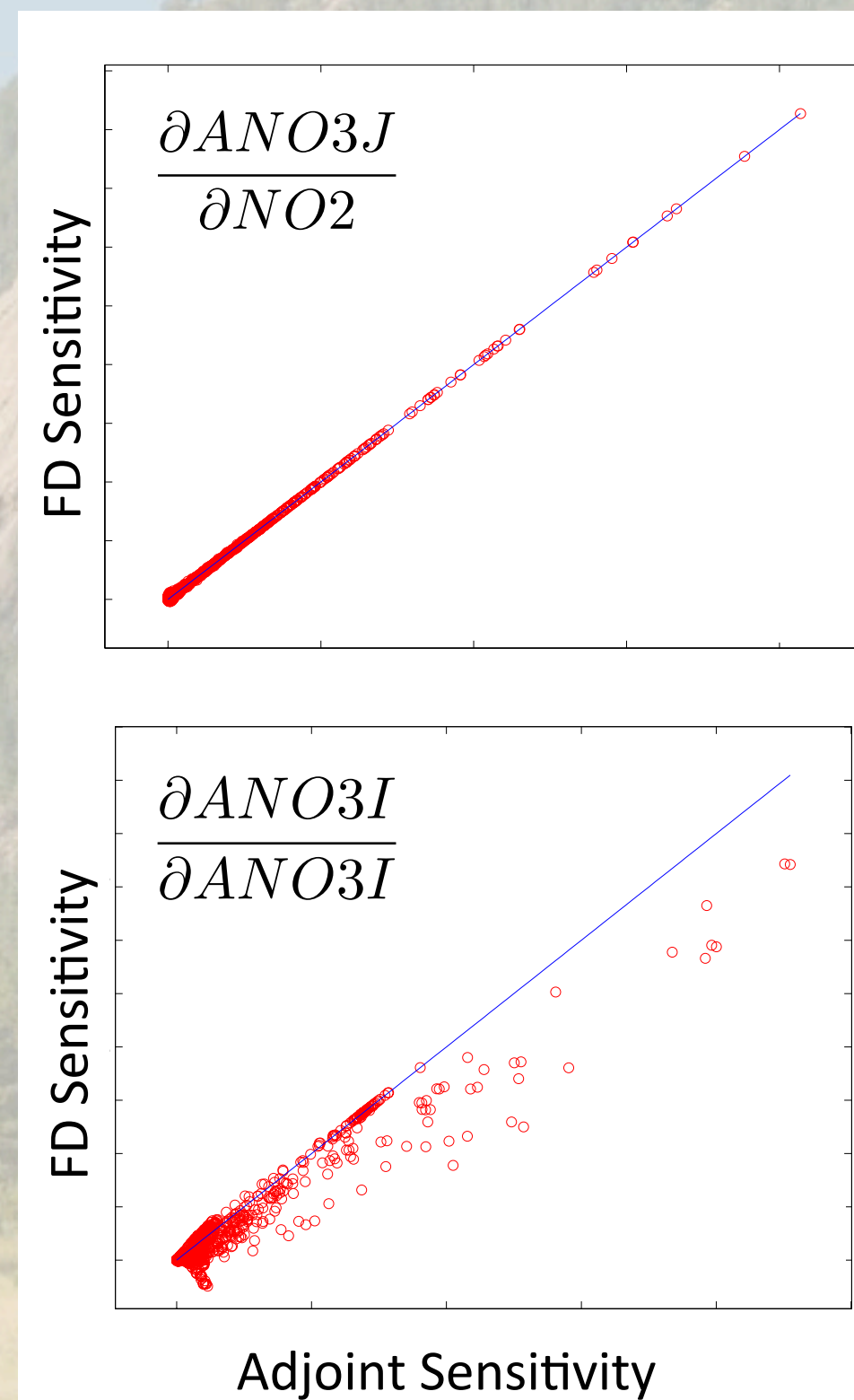
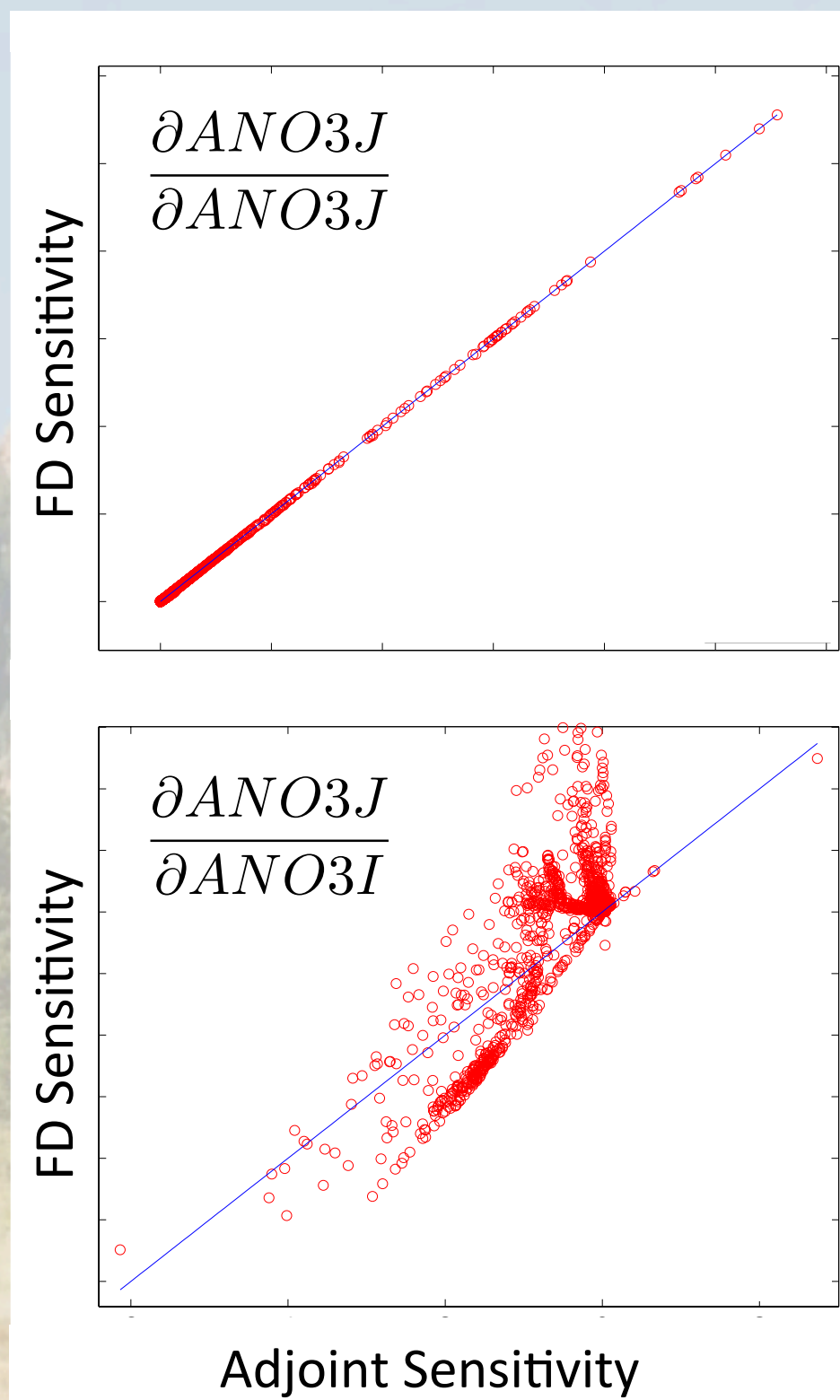


Aerosol Dynamics Validation

File	Validated in Full Model?	Process Info
hetchem	Yes	Heterogeneous conversion of N ₂ O ₅ to HNO ₃
n2o5prob	Yes	N ₂ O ₅ heterogeneous reaction probability
getcoags	Yes	Coagulation rates using approximate algorithm for 2nd moment. 0th and 3rd moments calculated by analytic expressions
volinorg	Yes	Partitioning of inorganic components between aerosol and gas phase
calc_h2o	Yes	Water content of aerosol
hcond3	Yes	Calculates size-dependent term in condensational growth rate expression for 2nd and 3rd moments using harmonic mean method
compute_flux	No	Evaporative/condensational flux of volatile inorganic species to aerosol modes
newpart3	Yes	Calculates new particle production rate due to binary nucleation of H ₂ SO ₄ and H ₂ O vapor
getpar	Yes	Calculates 3rd moments, masses, aerosol densities, and geometric mean diameters of all 3 modes

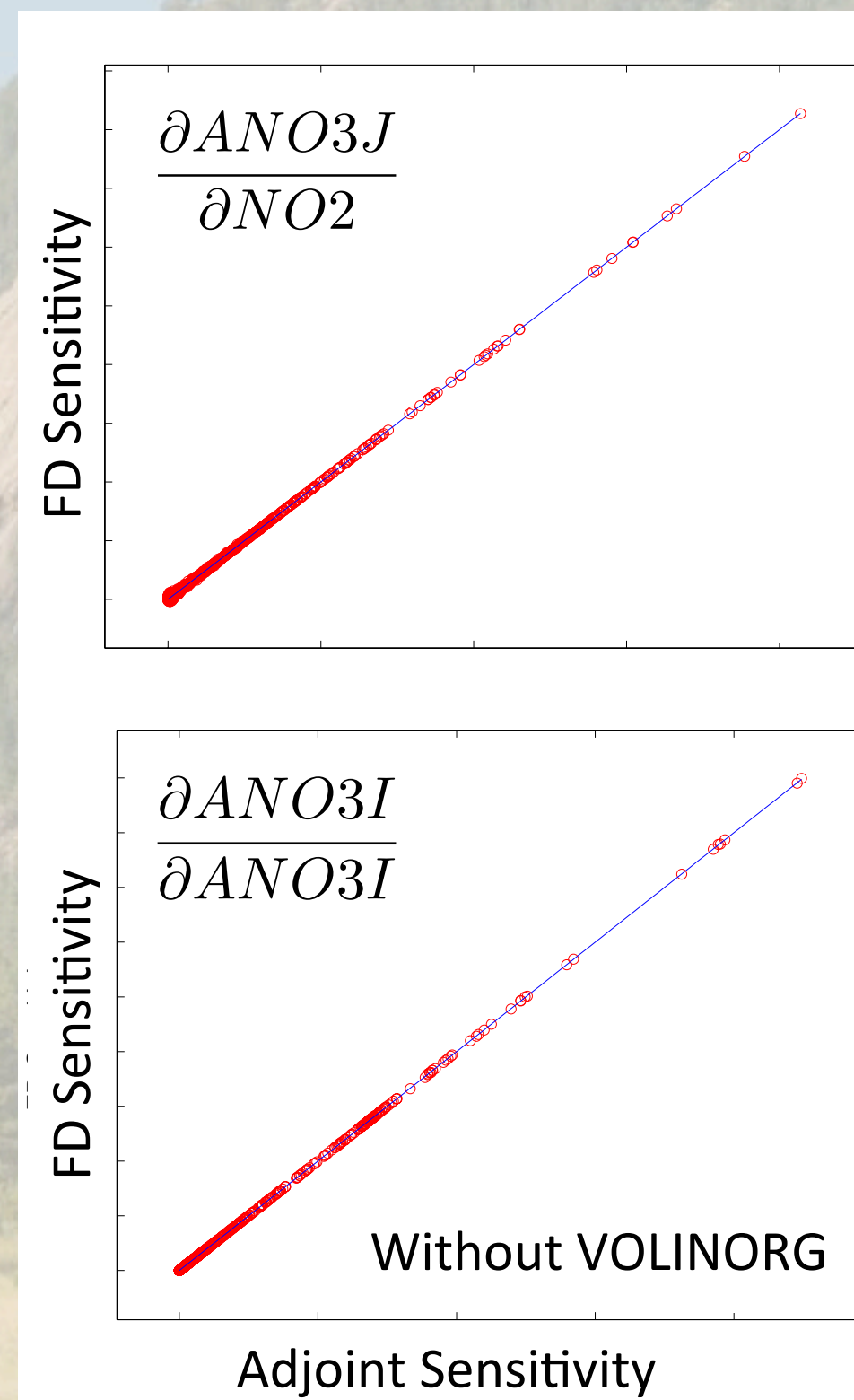
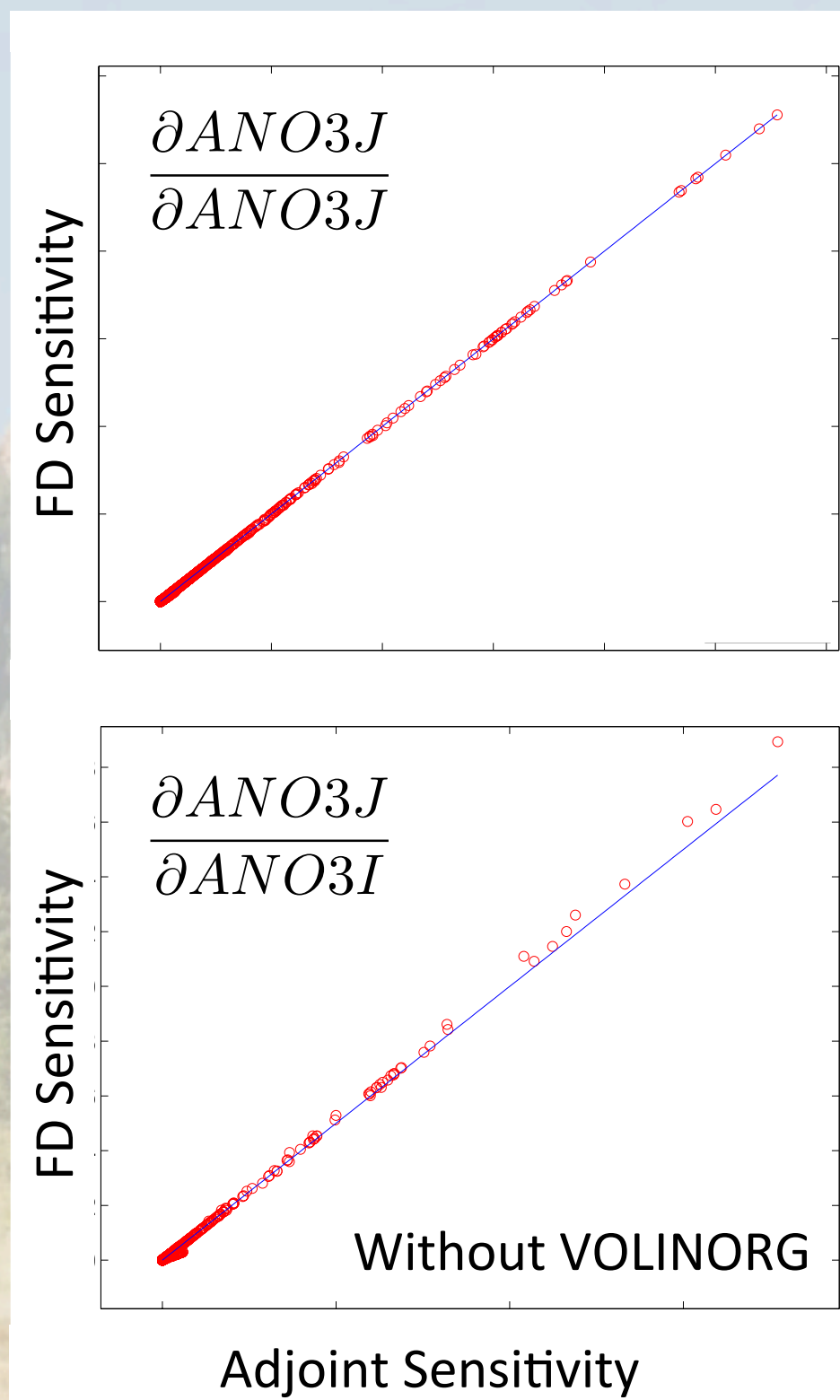


Aerosol Adjoint Validation: Full Model



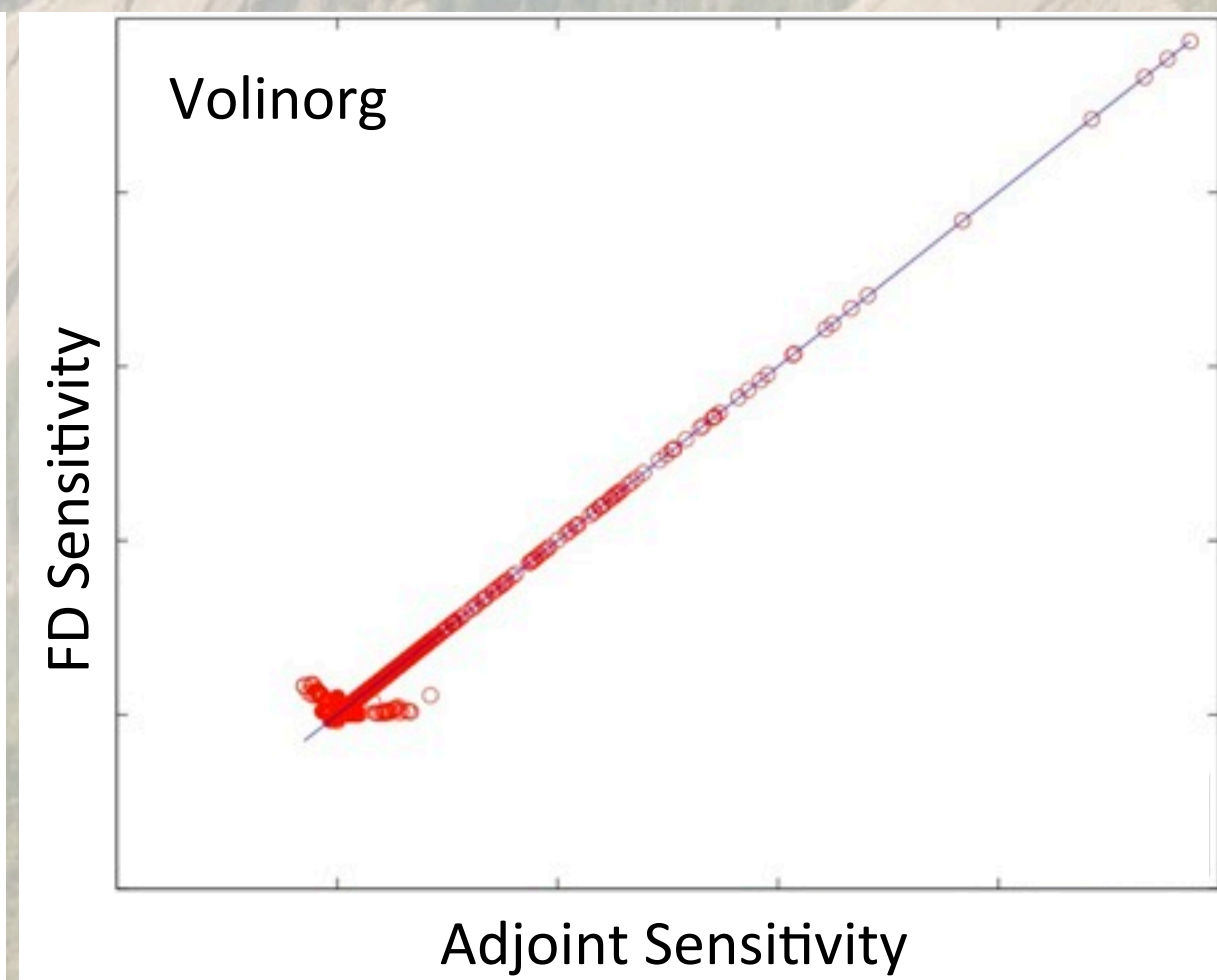
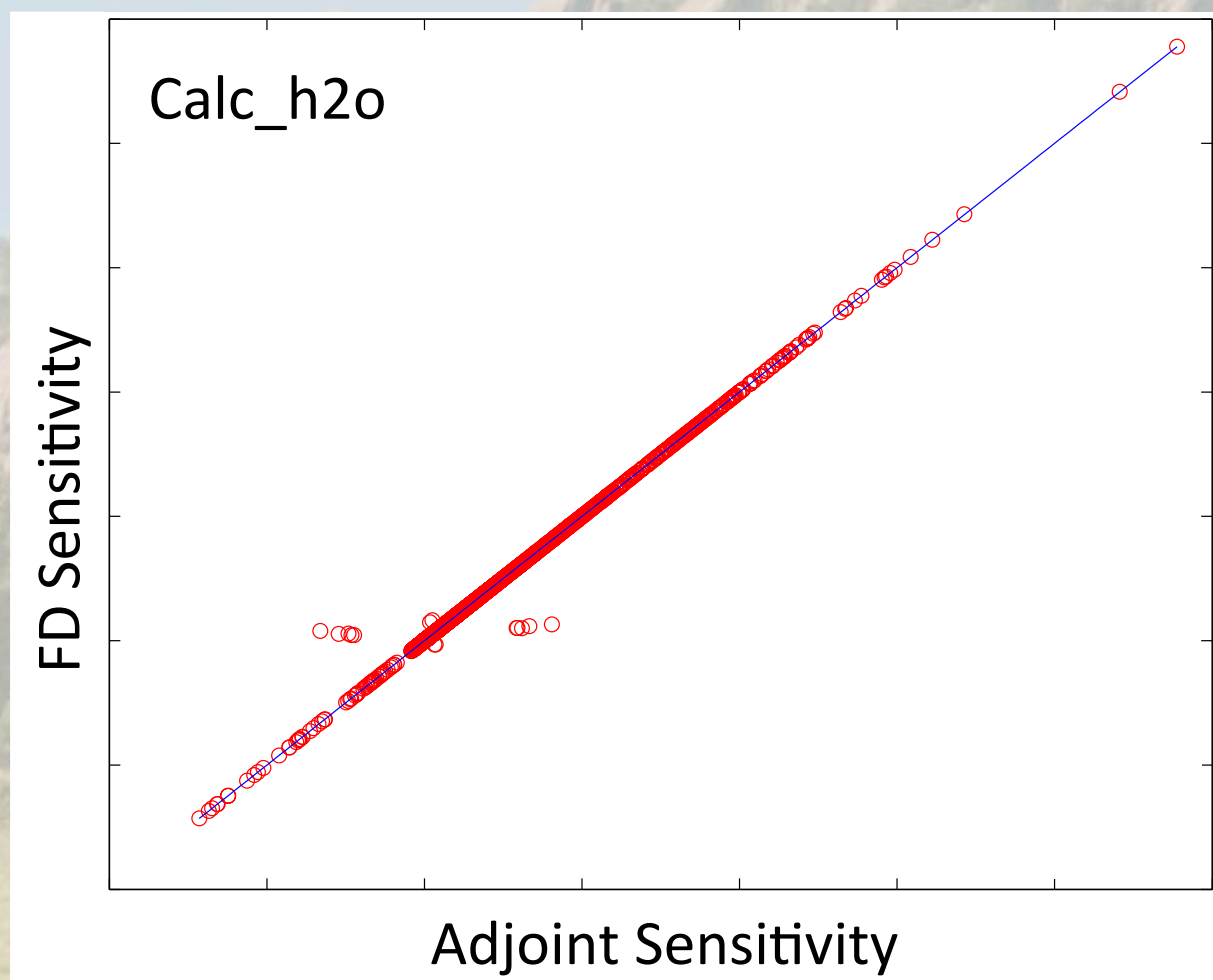


Aerosol Adjoint Validation: Full Model



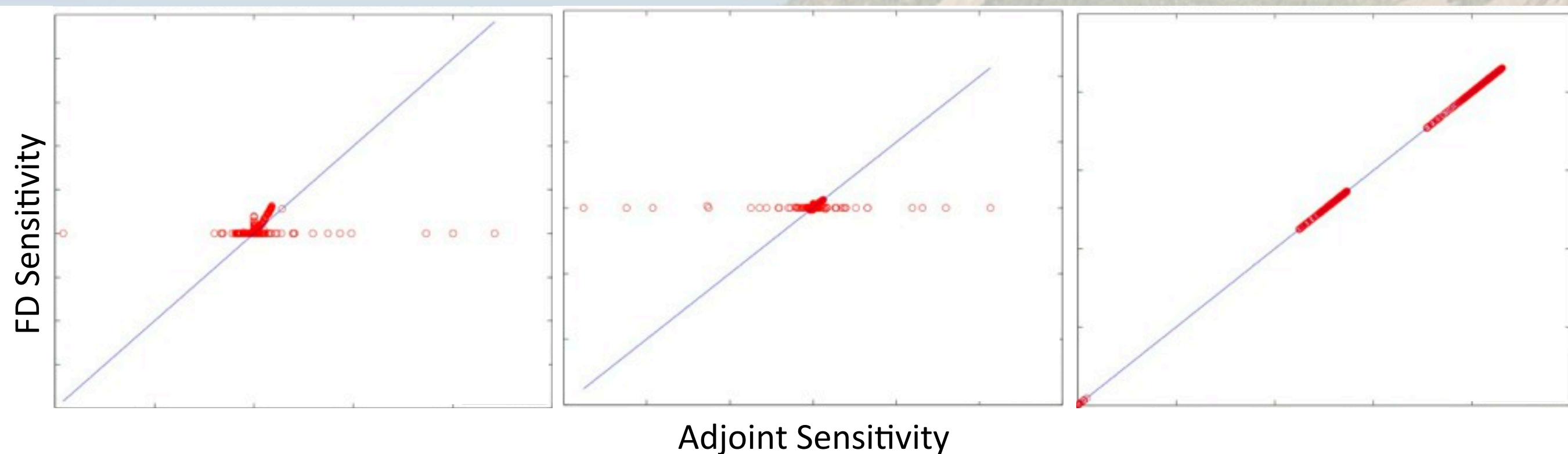


Process level adjoint validation





Process level adjoint validation: condensation (VOLINORG)



I-Mode validation

J-Mode validation

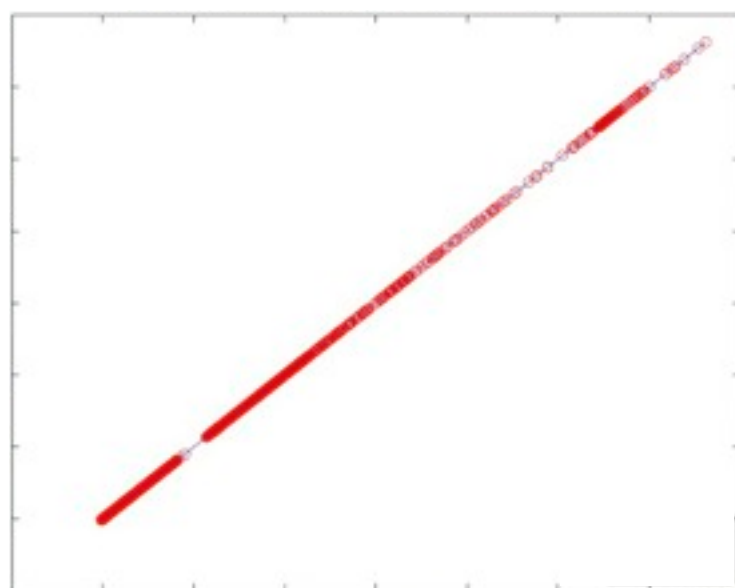
K-Mode validation

- VOLINORG contains calls to many other subroutines.
- Discrepancies only for:
 - Sulfate, Ammonium, Nitrate, Sodium, Chloride

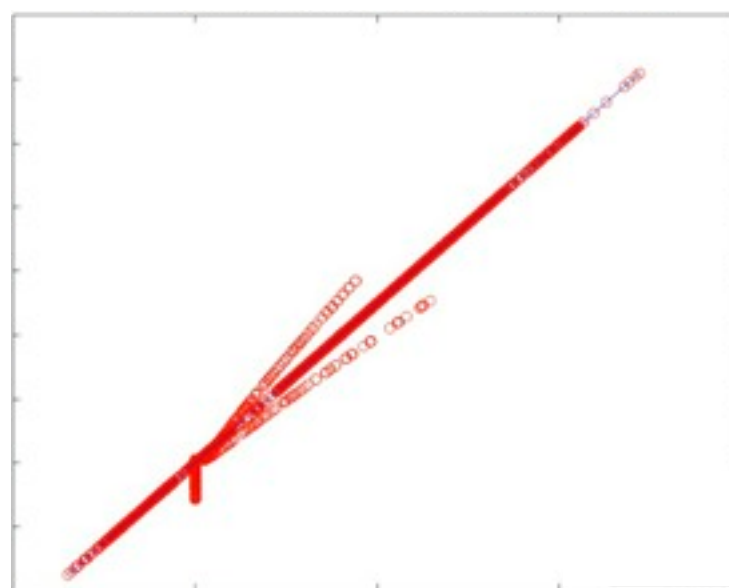


VOLINORG w/o ISORROPIA

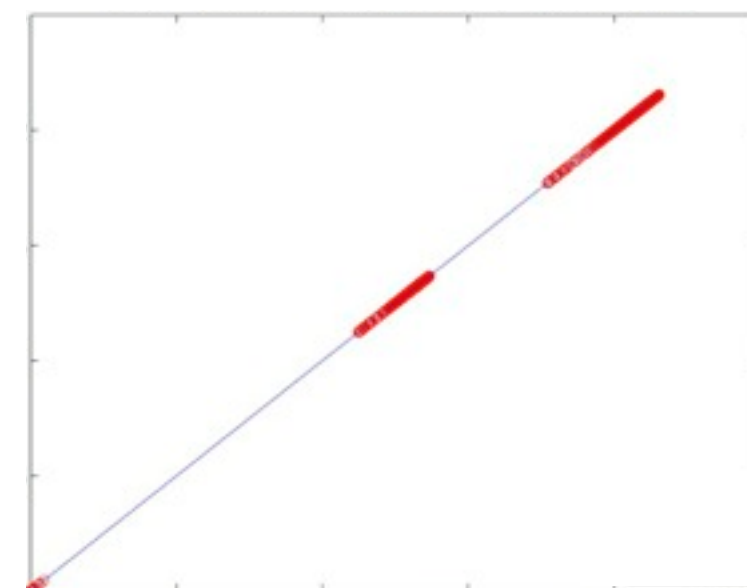
FD Sensitivity



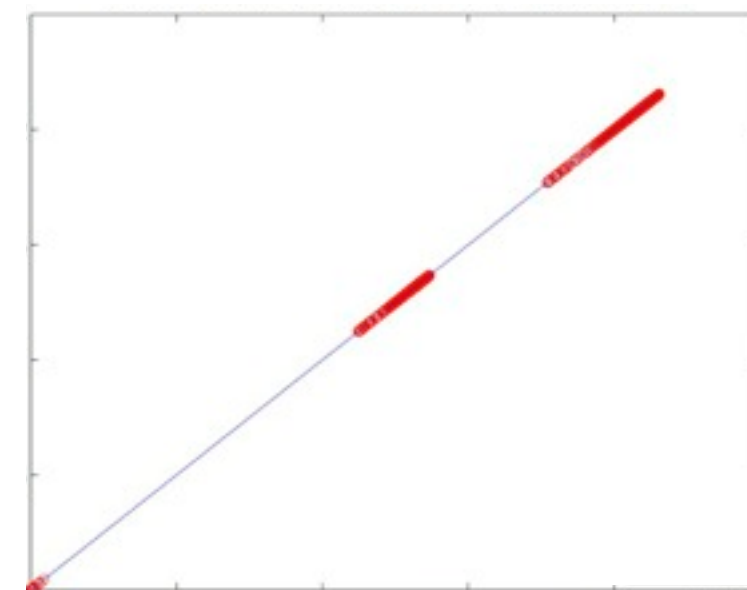
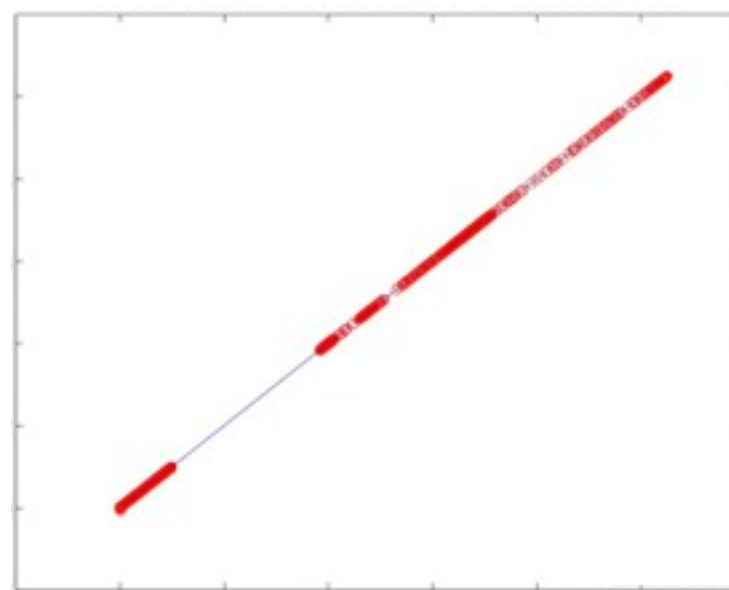
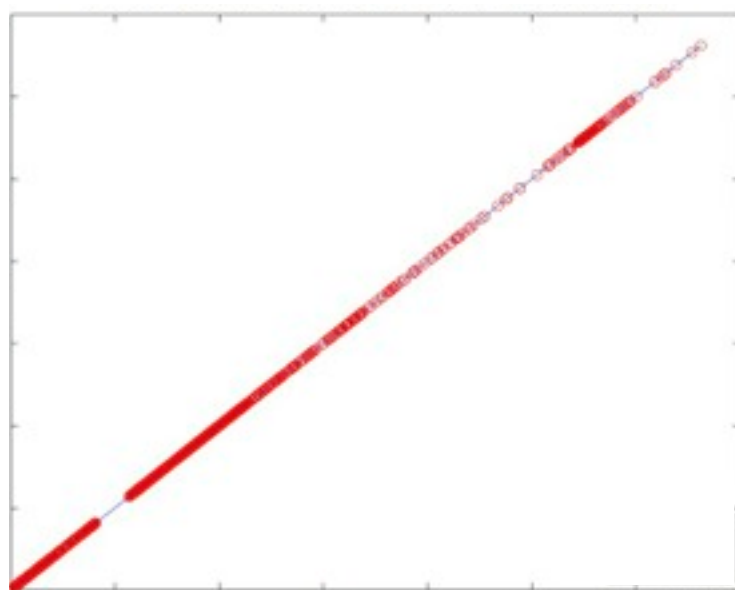
I-Mode validation



J-Mode validation



K-Mode validation

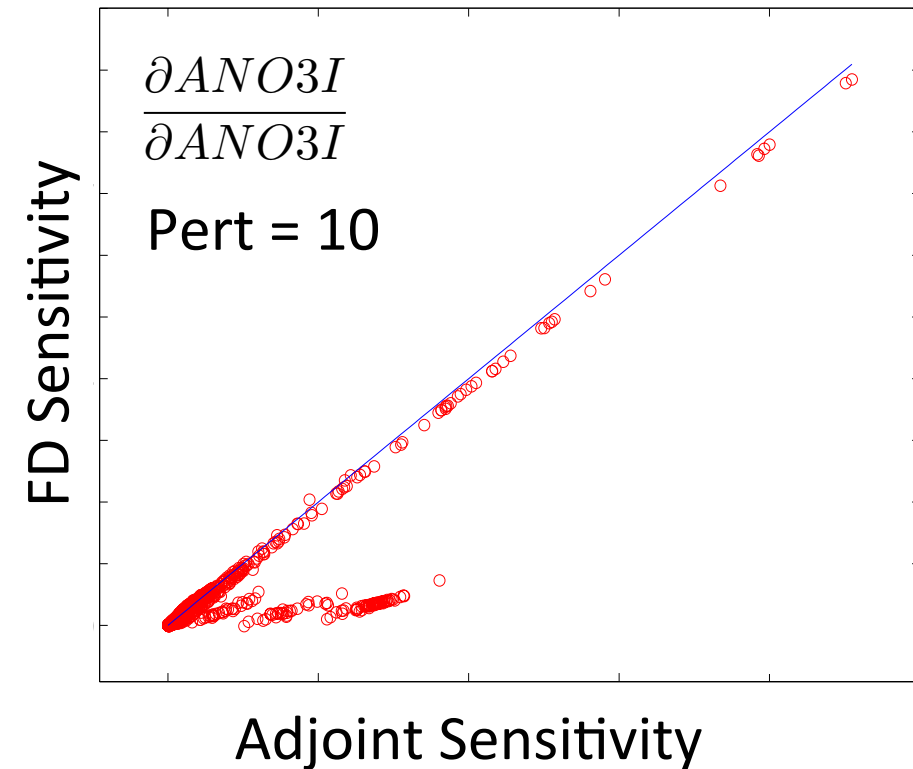
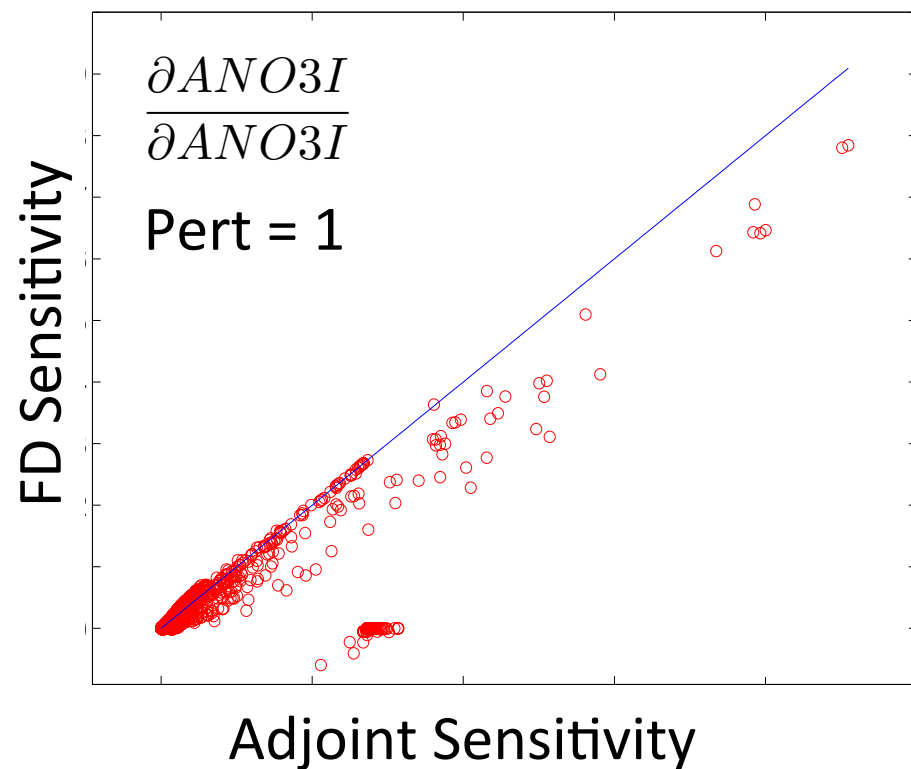
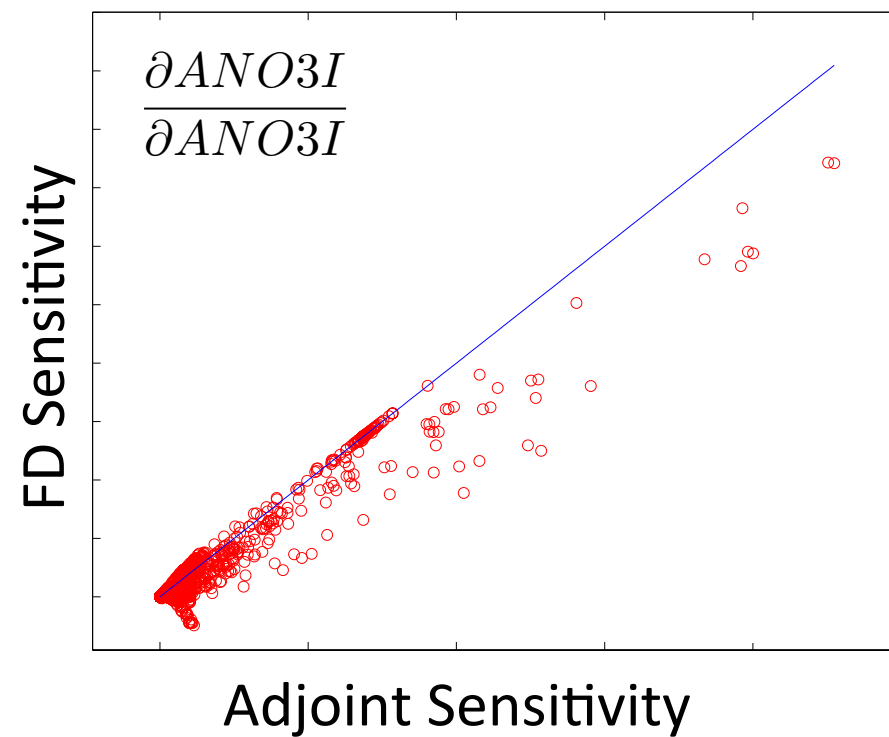


Adjoint Sensitivity

Validation of VOLINORG. Top 3 plots are Volinorg w/o ISORROPIA.
Bottom 3 plots are Volinorg w/o ISORROPIA or Calc_h2o



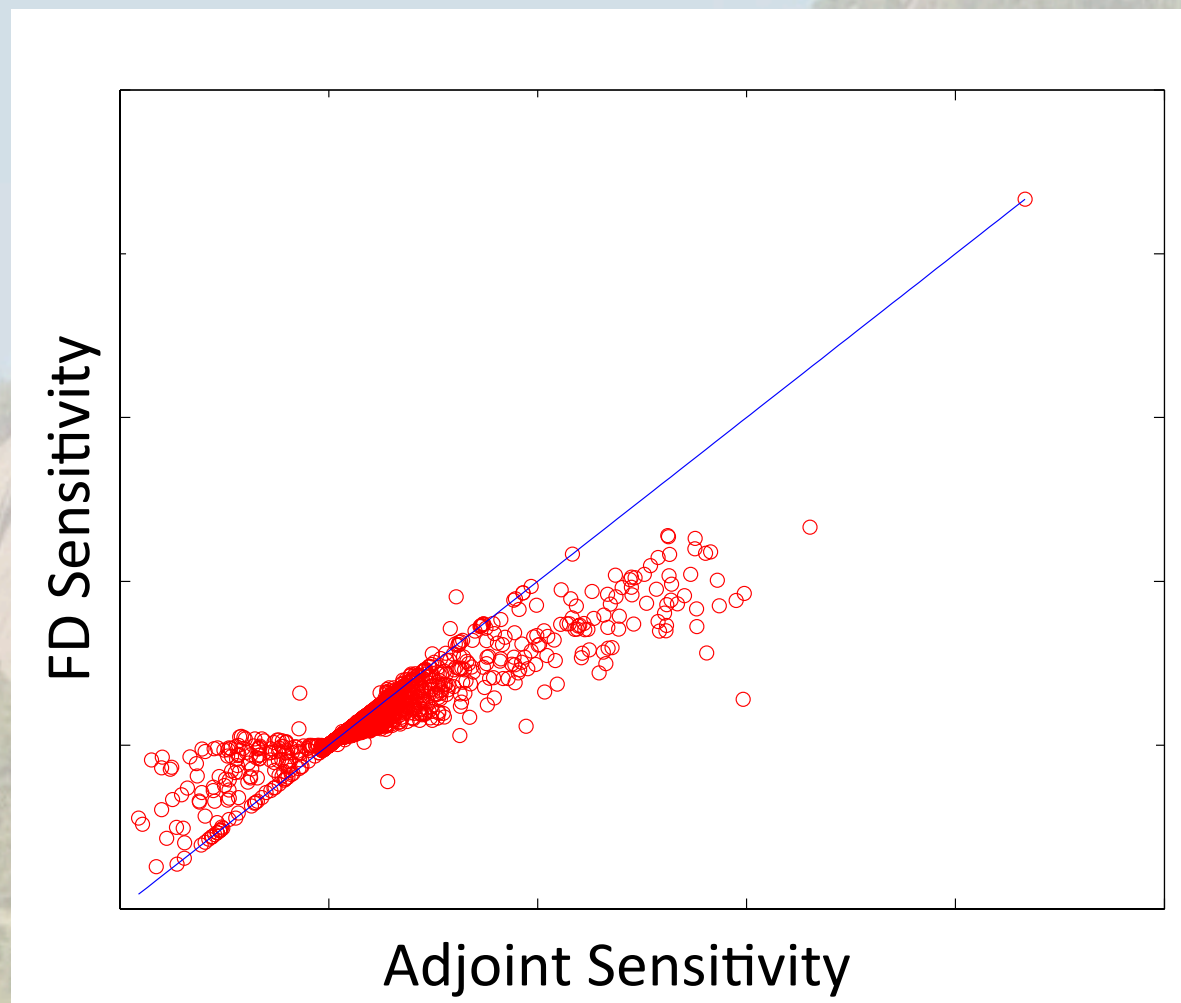
Aerosol Dynamics - Box Model



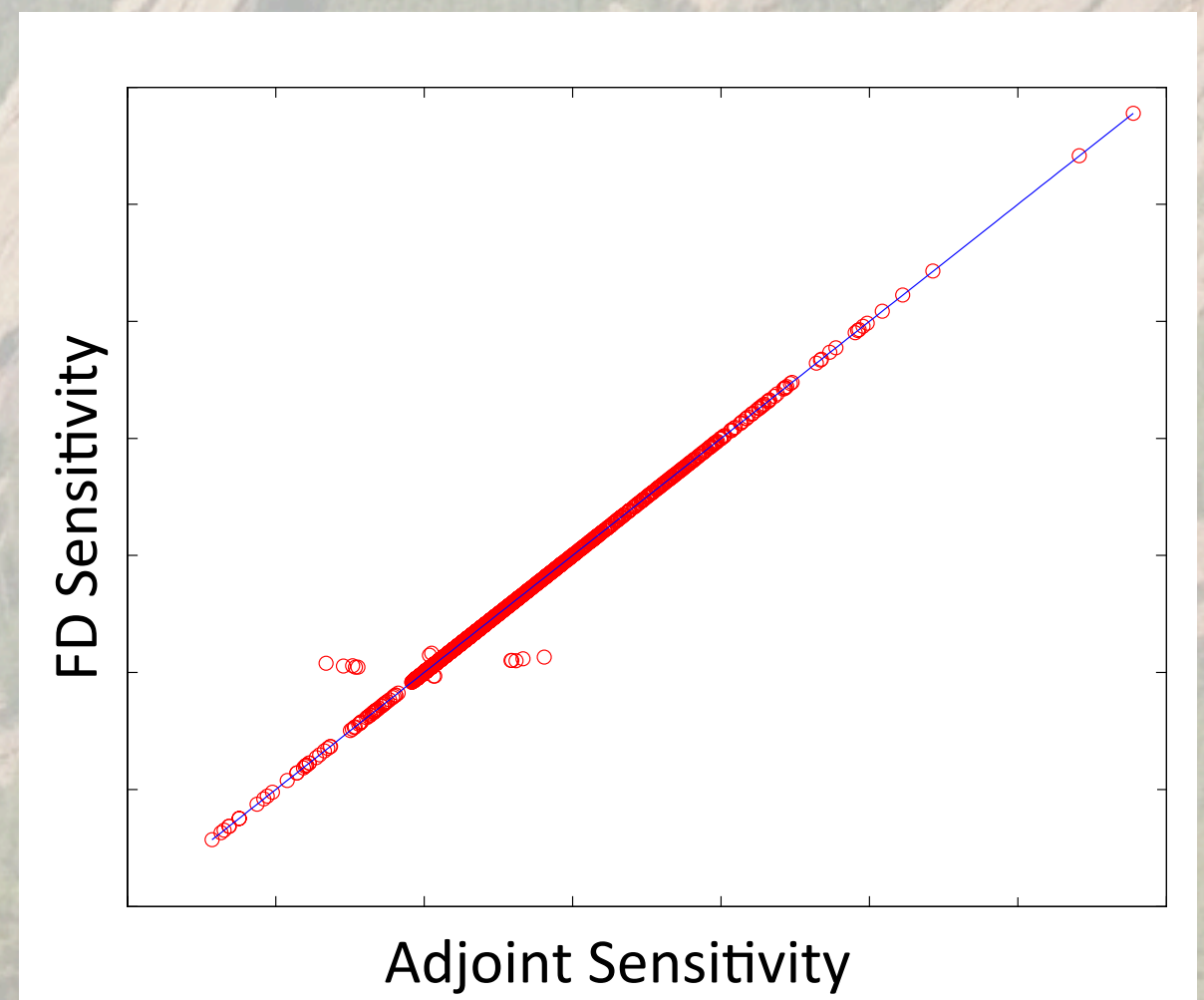


Validation using Finite Difference

Finite difference sensitivities depend upon the perturbation size:



Validation of Calc_H2O Subroutine.
Finite Difference perturbation of 0.1

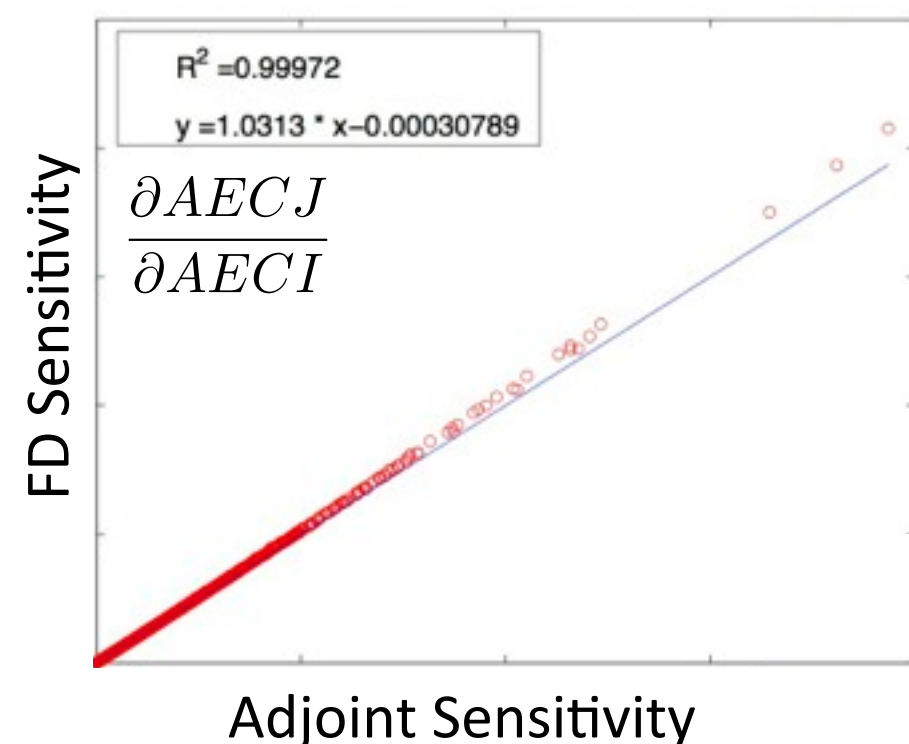
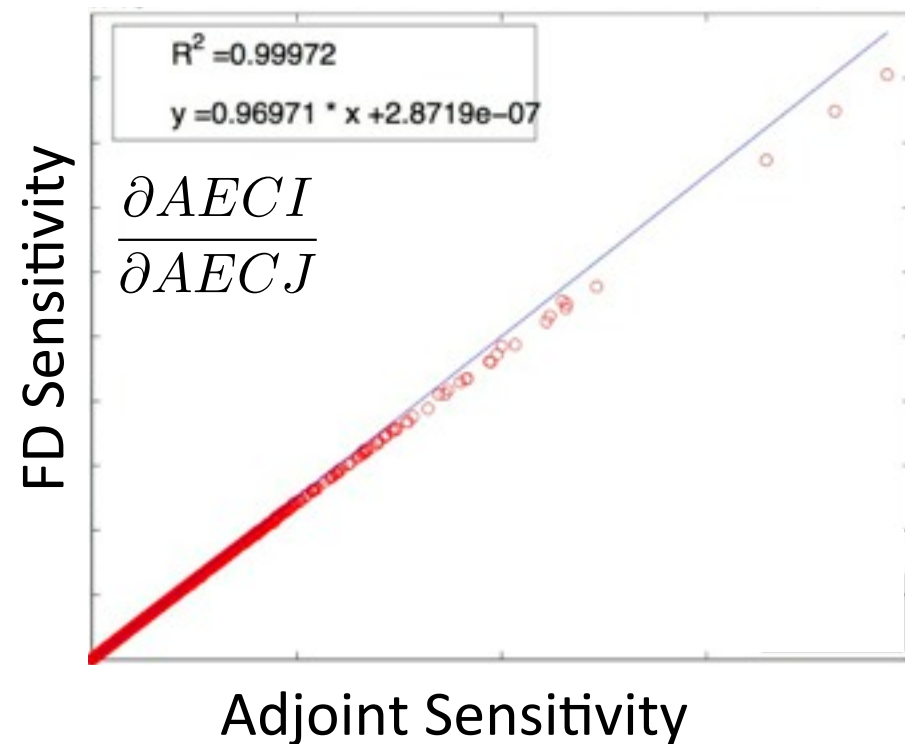
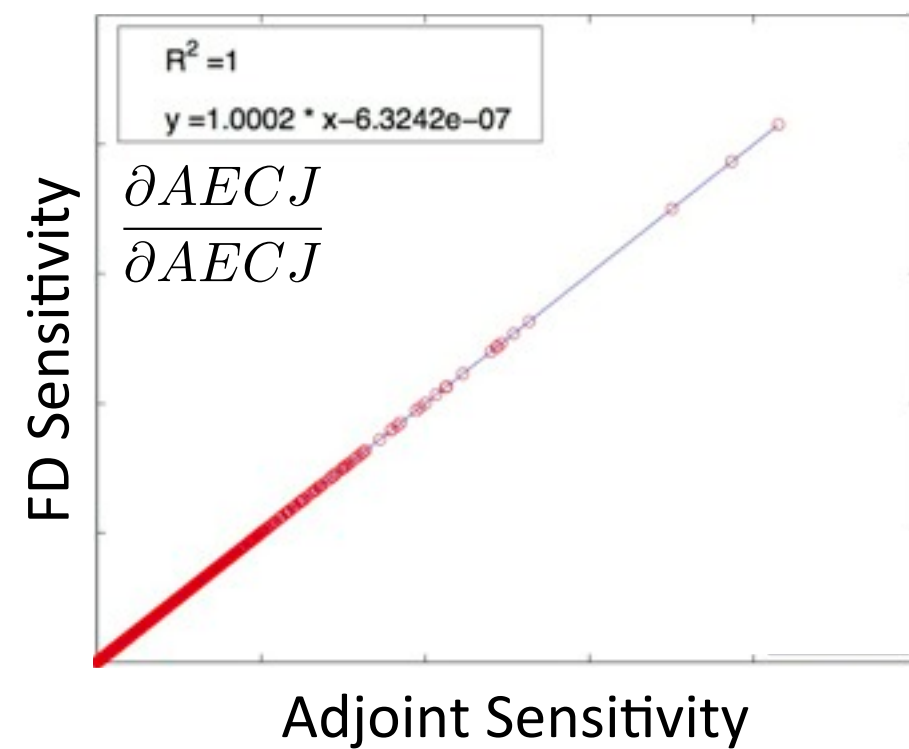
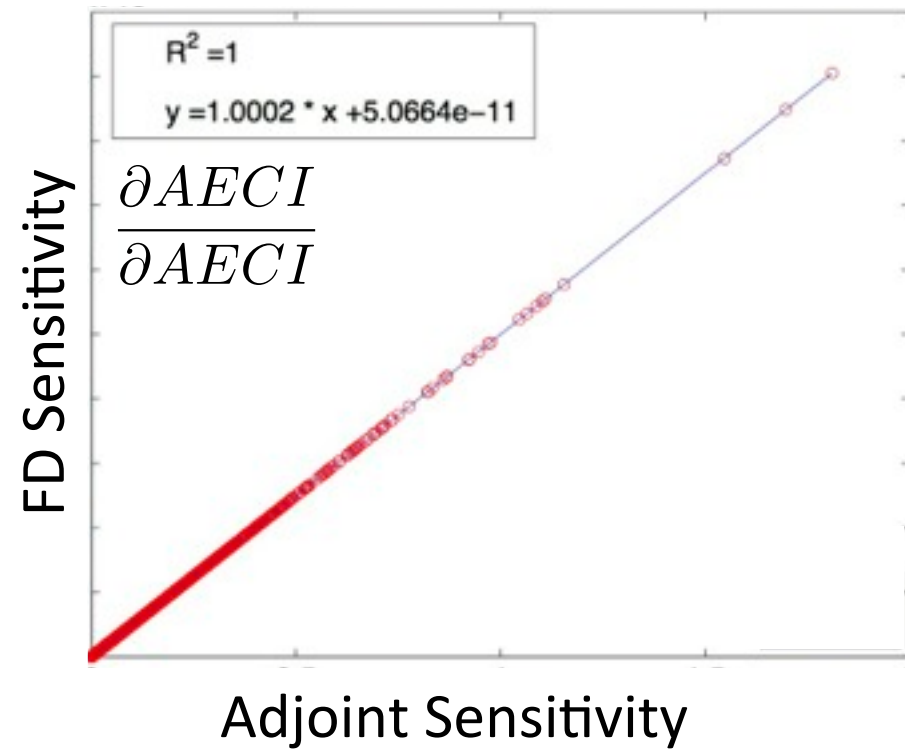


Validation of Calc_H2O Subroutine.
Finite Difference perturbation of 0.0001

Adjoint may be correct even if it does not match finite difference results

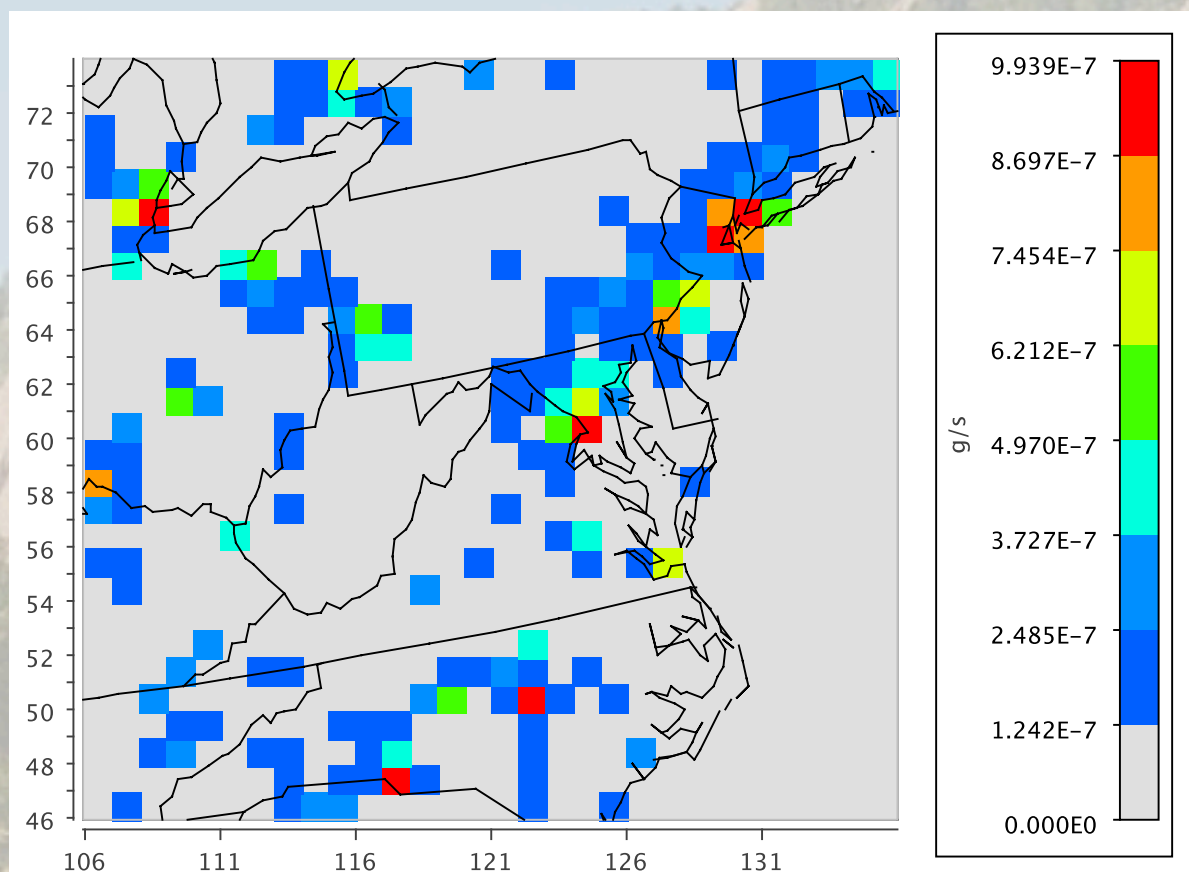


Black Carbon Validation

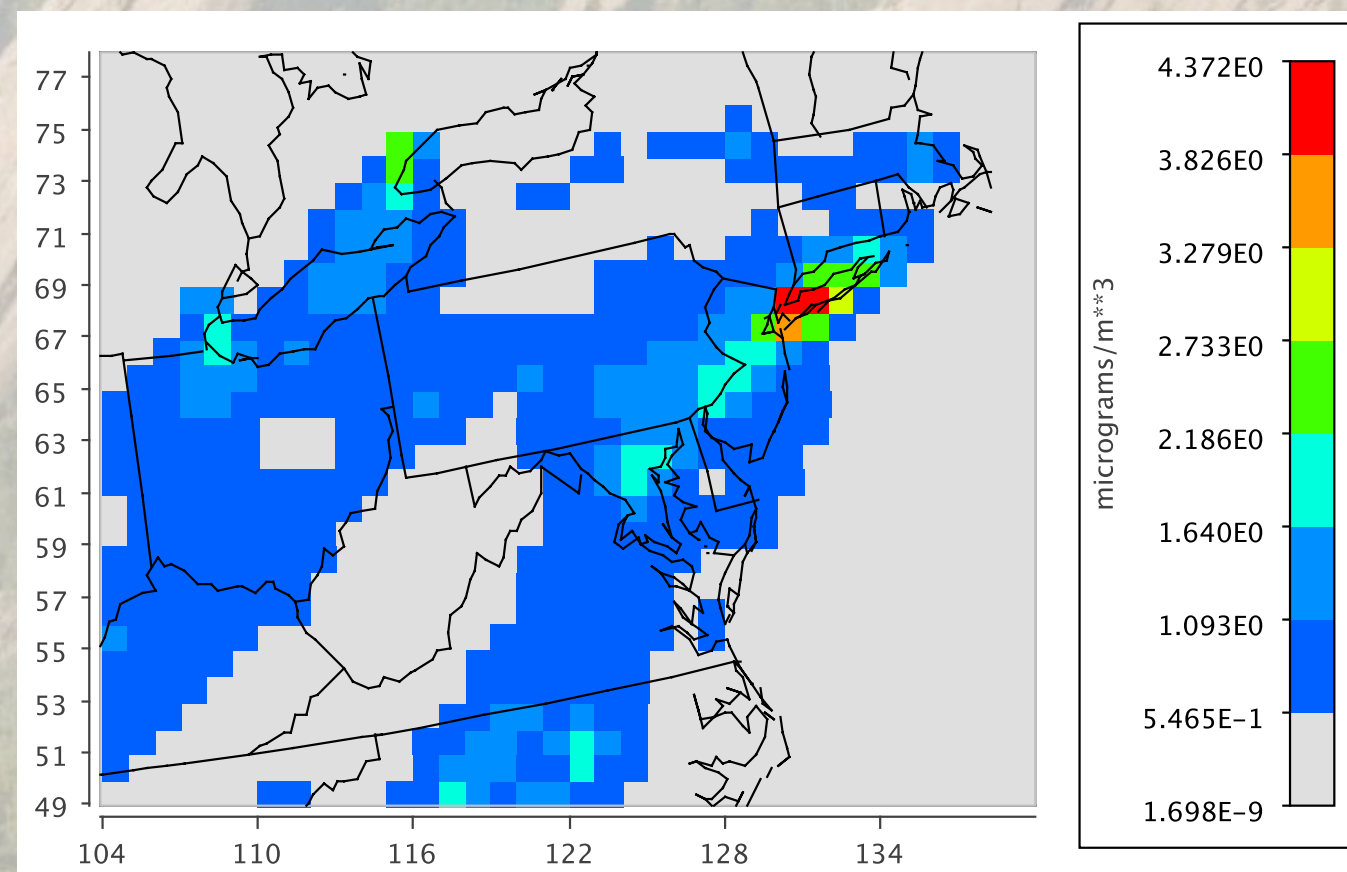




Black Carbon Analysis



AECJ Emissions



AECJ Concentrations



Black Carbon Analysis

Health Impact Function: $\Delta Mort = y_0(1 - \exp^{-\beta \Delta X})Pop$

- y_0 = baseline mortality rate
- β = Concentration Response Factor
- X = concentration
- Pop = population

J = Health Impact Function

Adjoint model driven by:

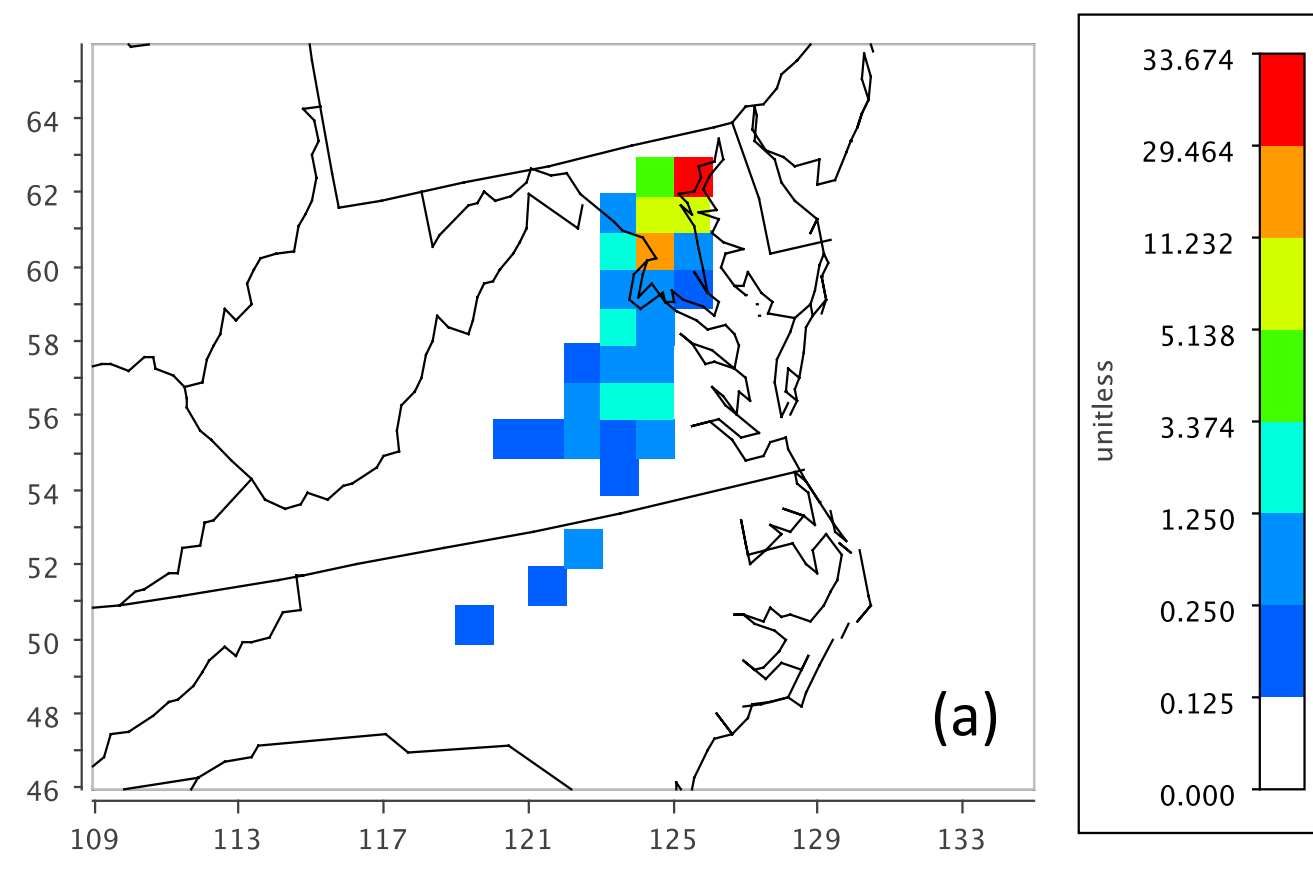
$$\frac{\partial Mort}{\partial X} = \beta * y_0 * Pop * \exp^{-\beta X}$$

	Baltimore	NY
y_0	1.02	0.634
β	0.005827	0.005827
Pop	636919	8363710

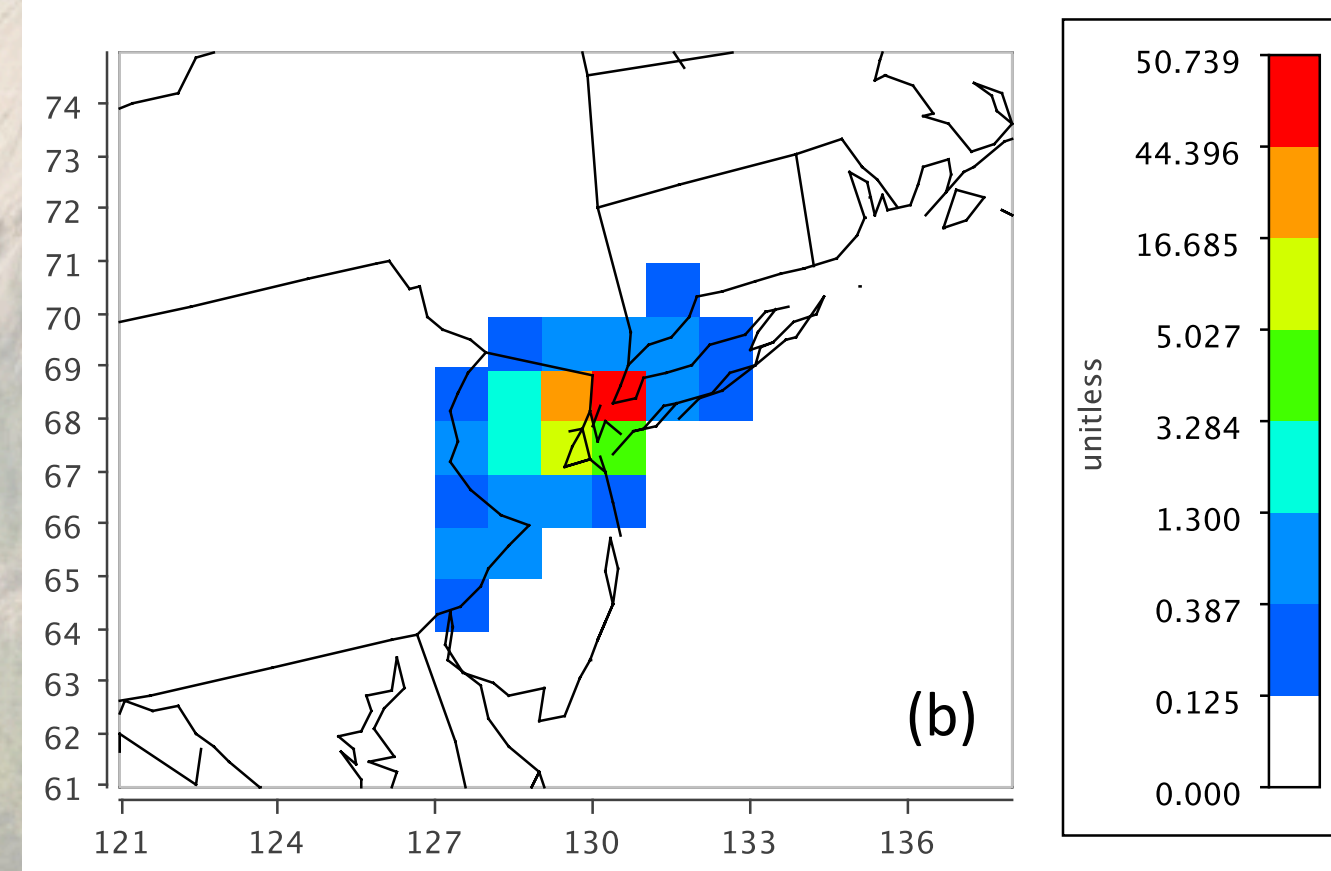


Black Carbon Analysis

$$\frac{\partial J}{\partial E_{i,j,k}} \frac{E_{i,j,k}}{J} \times 100\%$$



J = 748.25



J = 13490.4

Sensitivity of mortalities caused by black carbon in (a) Baltimore, MD and (b) NY, NY with respect to black carbon emissions.

April 3, 2008, 7:00 PM Local to April 4, 2008, 7:00 PM Local



Summary and Future Work

- Adjoint of CMAQ aerosol module has been developed and validated for black carbon.
 - Needs further validation/debugging of other processes
 - Compare to CVM
- Sensitivities with respect to emissions have been obtained for single day simulations for Baltimore, MD and New York city.
 - Need to extend to multiple month simulations
 - Use monthly average concentrations in Health Impact Function
- Extend work to inverse modeling (4D-Var) of emissions.